



SECURITIES AND EXCHANGE COMMISSION

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Company Information

SEC Registration No.

CS201000985

Company Name

SFA SEMICON PHILIPPINES CORPORATION

Industry Classification MFG., N.E.C.

Company Type

Stock Corporation

Document Information

Document ID

211102044356024

Document Type

17-C (FORM 11-C:CURRENT DISCL/RPT)

Document Code

17-C

Period Covered

October 31, 2020

No. of Days Late Department

Remarks

COVER SHEET

for Applications at TARLAC CITY EXTENSION OFFICE

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| | Company's Email Address Company's Telephone Number/s Company's Facsimile Number/s | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | CONTACT PERSON INFORMATION | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Name of Contact Person Email Address Telephone Number Lady Jeredeine Delos Reyes Lady.delosreyes@sfasemicon 045-499-1756 | | | | | | | 1 | | - | csimi | On Second | | | 1 | | | | | | | | | | | | | | | |
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SFA Semicon Philippines Corporation

Panday Pira Ave. Creekside Rd. Clark Freeport Zone, Pampanga, Philippines Tel. No. (045) 499-1962 / Fax No. (045) 499-1996

November 10, 2020

SECURITIES AND EXCHANGE COMMISSION

Tarlac Extension Office

Tarlac City

Attention:

Atty. Richard R. Laus

Director- Tarlac Extension Office

Re

October 2020 Report on Foreign Ownership

Gentlemen:

In compliance with Section 17.13 of the Revised Disclosure Rules of the Philippine Stock Exchange, we report herewith the foreign ownership level of **SFA Semicon Philippines Corporation (SSP)** for the month of August 2020 as filed with the Exchange, as follows:

Voting Shares:

| SSP | 1,870,129,048 | 294,895,063 | 2,165,024,111 |
|----------------------|--------------------|------------------|--------------------|
| Stock Symbol | Owned Shares | Owned Shares | Outstanding Shares |
| Type of Securities / | Number of Foreign- | Number of Local- | Number of |

| Foreign Ownership Level of Total | |
|----------------------------------|--------|
| Outstanding Shares (in %) | 86.38% |
| | |

Non-Voting Shares:

| Type of Securities / | Number of Foreign- | Number of Local- | Number of Outstanding Shares | | |
|----------------------|--------------------|------------------|------------------------------|--|--|
| Stock Symbol | Owned Shares | Owned Shares | | | |
| ~ | - | - 5 | - | | |

| Foreign Ownership Level of Total Outstanding Shares (in %) | 86.38% |
|---|---------|
| Foreign Ownership Limit (in %) | 100.00% |

Thank you very much.

Very truly yours,

CAROLÍNE S. SICAT

Compliance Officer / Assistant Corporate Secretary



SFA SEMICON PHILIPPINES CORPORATION

REPORT ON FOREIGN OWNERSHIP As of October 31, 2020

| NAME | NO.OF SHARES | PERCENTAGE OF OWNERSHIP |
|--|---------------|-------------------------|
| PCD Nominee Corporation (Filipino) | 294,027,720 | 13.58% |
| Haidee A. Generoso &/Or Sandy Edward A. Generoso | 735,000 | 0.03% |
| Elvira M. Cruz and/or Bernardo A. Cruz | 40,000 | 0.00% |
| Elvira M. Cruz and Bernardo A. Cruz | 37,000 | 0.00% |
| F. Yap Securities, Inc. | 32,000 | 0.00% |
| Juliet Alejandro Ancheta | 8,000 | 0.00% |
| Victor G. Co or Co Kian Chay | 5,000 | 0.00% |
| Stephen T. Teo &/Or Teresita R. Teo | 5,000 | 0.00% |
| Rosalinda C. Delos Santos | 3,000 | 0.00% |
| Jesus San Luis Valencia | 1,000 | 0.00% |
| Bartholomew Dybuncio Young | 1,000 | 0.00% |
| Owen Nathaniel S AU ITF: Li Marcus AU | 230 | 0.00% |
| Dondi Ron R. Limgenco | 111 | 0.00% |
| Carlos Rolda Alindada | 1 | 0.00% |
| Mary Delia G. Tomacruz ¹ | 1 | 0.00% |
| Number of Local-Owned Shares | 294,895,063 | 13.62% |
| SFA Semicon Co., LTD. ² | 1,840,264,104 | 85.00% |
| PCD Nominee Corporation (Non - Filipino) | 29,864,060 | 1.38% |
| Philip &/Or Elnora Turner | 879 | 0.00% |
| Joon Sang Kang | 1 | 0.00% |
| Daekyu Kang | 1 | 0.00% |
| Minhun Seo | 1 | 0.00% |
| Chunkee Son | 1 | 0.00% |
| Hyunggoo Oh | 1 | 0.00% |
| Number of Foreign-Owned Shares | 1,870,129,048 | 86.38% |
| Total Outstanding Shares | 2,165,024,111 | 100.00% |

Notes

¹ The 100,000 shares owned by Mary Delia G. Tomacruz are lodged with PCD Nominee Corporation (Filipino).

² Formerly STS Semiconductor & Telecommunications Co., Ltd.

| STOCKHOLDER'S NAME | OUTSTANDING & ISSUED SHARES (FULLY PAID) | OUTSTANDING & ISSUED SHARES (PARTIALLY PAID) | TOTAL HOLDINGS (SUBSCRIBED) | PERCENTAGE TO TOTAL |
|--|--|--|-----------------------------------|---------------------------|
| | | | | |
| SFA SEMICON CO., LTD. | 1,840,264,104 | 0 | 1,840,264,104 | 85.000 |
| PCD NOMINEE CORPORATION (FILIPINO) | 294,027,720 | 0 | 294,027,720 | 13.581 |
| PCD NOMINEE CORPORATION (NON - FILIPINO) | 29,864,060 | 0 | 29,864,060 | 1.379 |
| HAIDEE A. GENEROSO &/OR SANDY EDWARD A. GENEROSO | 735,000 | 0 | 735,000 | 0.034 |
| ELVIRA M. CRUZ AND/OR BERNARDO A. CRUZ | 77,000 | 0 | 77,000 | 0.004 |
| F. YAP SECURITIES, INC. | 32,000 | 0 | 32,000 | 0.001 |
| JULIET ALEJANDRO ANCHETA | 8,000 | 0 | 8,000 | 0.000 |
| VICTOR G. CO &/OR CO KIAN CHAY | 5,000 | 0 | 5,000 | 0.000 |
| STEPHEN T. TEO &/OR TERESITA R. TEO | 5,000 | 0 | 5,000 | 0.000 |
| ROSALINDA C. DELOS SANTOS | 3,000 | 0 | 3,000 | 0.000 |
| JESUS SAN LUIS VALENCIA | 1,000 | 0 | 1,000 | 0.000 |
| BARTHOLOMEW DYBUNCIO YOUNG | 1,000 | 0 | 1,000 | 0.000 |
| PHILIP &/OR ELNORA TURNER | 879 | 0 | 879 | 0.000 |
| OWEN NATHANIEL S AU ITF: LI MARCUS AU | 230 | 0 | 230 | 0.000 |
| DONDI RON R. LIMGENCO | 111 | 0 | 111 | 0.000 |
| CARLOS ROLDA ALINDADA | 1 | 0 | 1 | 0.000 |
| DAEKYU KANG | 1 | 0 | 1" | 0.000 |
| JOONSANG KANG | 1 | 0 | 1 | 0.000 |
| HYUNGGOO OH | 1 | 0 | 1 | 0.000 |
| MINHUN SEO | 1 | 0 | 1 | 0.000 |
| CHUNKEE SON | 1 | 0 | 1 | 0.000 |
| MARY DELIA G. TOMACRUZ | 1 | 0 | 1 | 0.000 |
| | | | | |
| GRAND TOTAL (22) | 2,165,024,111 | 0 | 2,165,024,111 | 100.000 |